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Patent Public Advisory Committee Quarterly Meeting

International Update

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Global Dossier Initiative

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Global Dossier Enhancements

- Direct access to Office Actions from Patent Family Quick View
- Ability to filter document types when viewing application dossier
- Improved Office availability alerts (Closures, maintenance, holidays)

Direct Access to Office Actions

uspto

About UsCareersContact Us

Global Dossier

HomePublic PairCommon Citation DocumentCurrent Service StatusService HoursHelpEmail Us

OfficeUSTypeApplication13565455Q

★ Collections0🕒 History1

US 135654559 Members in Patent Family (8 currently shown)

«

IP Office Type

☒ All IP5 Offices☒ EPO☒ SIPO☒ KIPO☒ JPO☒ USPTO☐ Non-IP5 Offices

Office	Application	Applicant	Title	App. Date	Priority #	Pub. #	Pub. Date	Action
US	PCT/US09/54677 Refresh Dossier			08/21/2009	US 12092044	WO 2010027712 A2 WO 2010027712 A3	03/11/2010 05/14/2010	
EP	09811971 View Dossier	1) Applied Materials, Inc.,	LASER MATERIAL REMOVAL METHODS AND APPARATUS	08/21/2009	US 12092044 US PCT/US09/54677	EP 2329518 A2		Add to ★
US	12545488 View Dossier	1) Zhang, Zhenhua 2) Rana, Virendra V.S. 3) Shah, Vinay K. 4) Eberspacher, Chris	LASER MATERIAL REMOVAL METHODS AND APPARATUS	08/21/2009	US 12092044	US 20100055901 A3 US 8258426 B2		Add to ★
CN	201310590403	1) APPLIED MATERIALS, INC.,	Laser material removal methods and apparatus	08/21/2009	US 12092044	US 20100055901 A3 US 8258426 B2	01/29/2014	<div>This dossier has office actions.</div> <div>(3)</div> Add to ★

Most Recent Documents

Date	Document Description	Options
11/12/2015	Nth Office Action (TRANSLATED)	...
11/12/2015	Nth Office Action (ORIGINAL)	...
11/03/2015	Supplementary search (ORIGINAL)	...

All DocumentsClassification and Citation

Office Actions

Date	Document Description	Options
11/12/2015	Nth Office Action (TRANSLATED)	...
07/17/2015	Nth Office Action (TRANSLATED)	...
12/22/2014	First Office Action (TRANSLATED)	...

View All Office Actions

Click on the Office Action Indicator to view the Office Actions

Document Filtering

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Home Public Pair Common Citation Document

Application Number Patent Family **All Documents** Classification & Citation

CN 201310590403 (CN 103537811 A) **12 (3) documents**

Documents : Office Actions

Description	Date	Code	Group	Options
Nth Office	12/12/2015	210403	2, 22	...
Nth Office	07/17/2015	210403	2, 22	...
First Office	12/22/2014	210401	2, 21	...

☒ Office Actions

☐ Application Docs

☐ Arguments & Applicant's Responses

☐ Citation & Classification Docs

☐ Miscellaneous

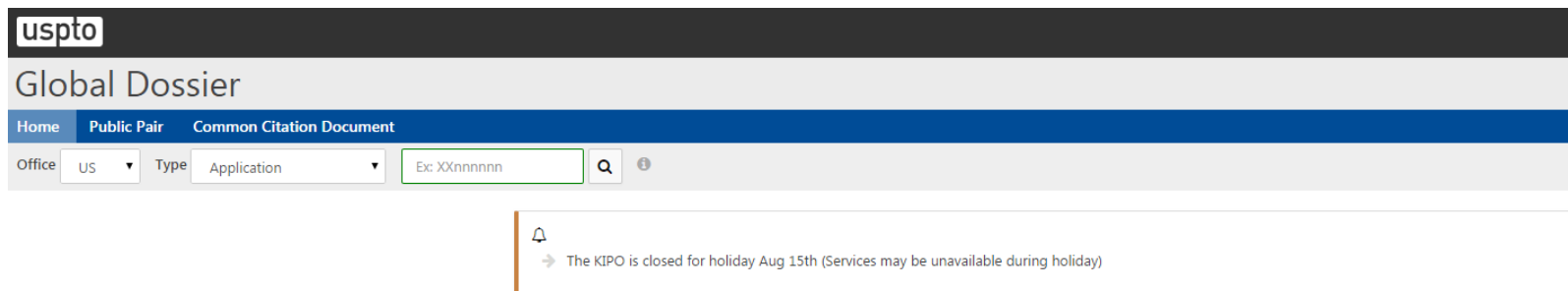
Incoming Docs

Outgoing Docs

Filter the documents by type in the All Documents tab



Improved Office Availability Alerts



The screenshot shows the USPTO Global Dossier homepage. At the top is the 'uspto' logo. Below it is the 'Global Dossier' title. A navigation bar contains links for 'Home', 'Public Pair', and 'Common Citation Document'. Below the navigation bar is a search area with 'Office' set to 'US', 'Type' set to 'Application', and a search box containing 'Ex: XXXXXXXX'. A red arrow points from the 'Global Dossier Initiative' section below to a notification banner that reads: 'The KIPO is closed for holiday Aug 15th (Services may be unavailable during holiday)'. The banner includes a bell icon and a close button.

Welcome to
Global  Dossier

Global Dossier Initiative

The Global Dossier Initiative is a set of business services being developed by the **IP5 Offices** (USPTO, EPO, JPO, KIPO, and SIPO) aimed at modernizing the global patent system and delivering benefits to all stakeholders through a single portal/user interface. Global Dossier will provide a single, secure point of access for the management of dossier and examination information, enabling and encouraging streamlining of office procedures among different IP Offices. This will lead to improved efficiency and predictability of global patent family prosecution with increased cost savings provided to patent applicants.

For more information, on the please visit the [Global Dossier Initiative](#) page.

Global Dossier Public Access

The first service being provided by the Global Dossier Initiative is a secure, online access to the file histories of related applications from participating IP Offices, which currently include the IP5 Offices.

By using this service, users can see the patent family for a specific application, containing all related applications filed at participating IP Offices, along with the dossier, classification, and citation data for these applications. This service also provides Office Action Indicators to help users identify applications that contain office actions, a Collections View for saving documents and applications for review later on in the session, and the ability to download the documents in an application.

Service Hours

Global Dossier users will be able to view the scheduled service hours for each IP5 office, including scheduled outages due to holidays and maintenance.

To view the scheduled hours of availability, users can select the **Service Hours** link on the top right corner of the website. In addition, any current scheduled outages for one or more offices will also be displayed on top of the homepage as a reminder for the users.

Users may also verify the availability of application data from each office by selecting the **Current Service Status** link on the top right side of the website.

Global Dossier Data Coverage

- Accessing Office to WIPO-CASE by December 2016
 - GD access to application dossiers from WIPO-CASE member Offices (e.g. IP AU, CIPO, UKIPO)
- Working with IP5 Offices to increase scope of data coverage and hours of availability

Global Dossier Active Component

- Backend Document Sharing Services
 - Preliminary backend services developed
 - Documentation and code being provided by USPTO to other IP5 Offices for feedback to further refine services
- Identify next steps based on input gathered from IP5 Offices and stakeholders

GD Usage Statistics

- Dossier Access Usage (Public Accesses)
 - 31,000 accesses per day
- International Patent Family Usage (Examiner Accesses)
 - 10,000 accesses per day

Access to Relevant Prior Art Project

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Project Background

- Study how the USPTO can increase examination efficiency and quality by leveraging electronic resources to retrieve information (prior art, search reports, etc.) from applicant's other applications.
- Explore whether or not automatically importing relevant prior art into the US application file at the earliest point in examination would reduce the burden of duty of disclosure for applicants.
- Consider if patent issuance can be streamlined by eliminating unnecessary information from first page of patent.

User Perspectives

Examiners

Awareness/availability: how do examiners know that relevant information art is available and how do they access it

Efficiently obtained: how does the relevant information get to the examiner in a manner and time that will increase search and examination efficiency and quality

Value Recognition – what supplemental information is available to assist the examiner in determining the relevance of prior art

Applicants

Acquiring Information: how do applicants efficiently obtain the information necessary to meet their duty of disclosure

Efficiently obtained: how do applicants avoid cumbersome, costly, and time consuming efforts to monitor prosecution in related or counterpart applications so as to obtain relevant prior art

Compliant presentation: how do applicants efficiently submit relevant prior art and related information to the examiner in a time and manner such that it complies with regulations

Project Phases

- Phase 1: Three track parallel information gathering
 - Track 1 – IT & Data Source Gathering
 - Track 2 – Application Studies Data Gathering
 - Track 3 – Data gathering from internal and external stakeholders
- Phase 2: Information Review
- Phase 2.5: Business Solution Development
- Phase 3: IT Development and Implementation*



Project Update

- External User Initial Focus Sessions at Regional Office Locations – June 2016
- Federal Register Notice – August 2016
- Roundtable – September 28, 2016
- Examiners Focus Sessions – 1st Quarter FY 17

International Working Group Meetings

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Hague Working Group – June '16

- Approved proposal to allow changes to name or address of creator by filing request with WIPO
- Approved proposal to allow WIPO to charge basic fee before examination

Hague Working Group – June '16

- Approved analysis of concept of reinstatement of rights
- Discussed use of WIPO/DAS to exchange priority documents in design applications

PCT Working Group – May '16

- Approved proposal to extend deadline for requesting supplementary international search
- Approved proposal to allow Receiving Offices to forward classification and earlier classification and/or search results to International Searching Authority

PCT Working Group – May '16 cont.

- Discussions continued on
 - Proposal to reduce fees for universities and publically funded research institutions
 - Color drawings
 - Updated sequence listing standard
 - Incorporation by reference of missing parts

IP5 – WG3 - October '16

- PCT
- Quality
- Work Sharing
- PCT Collaborative Search and Examination Pilot
- Patent Harmonization Expert's Panel (PHEP)

Questions and Comments

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